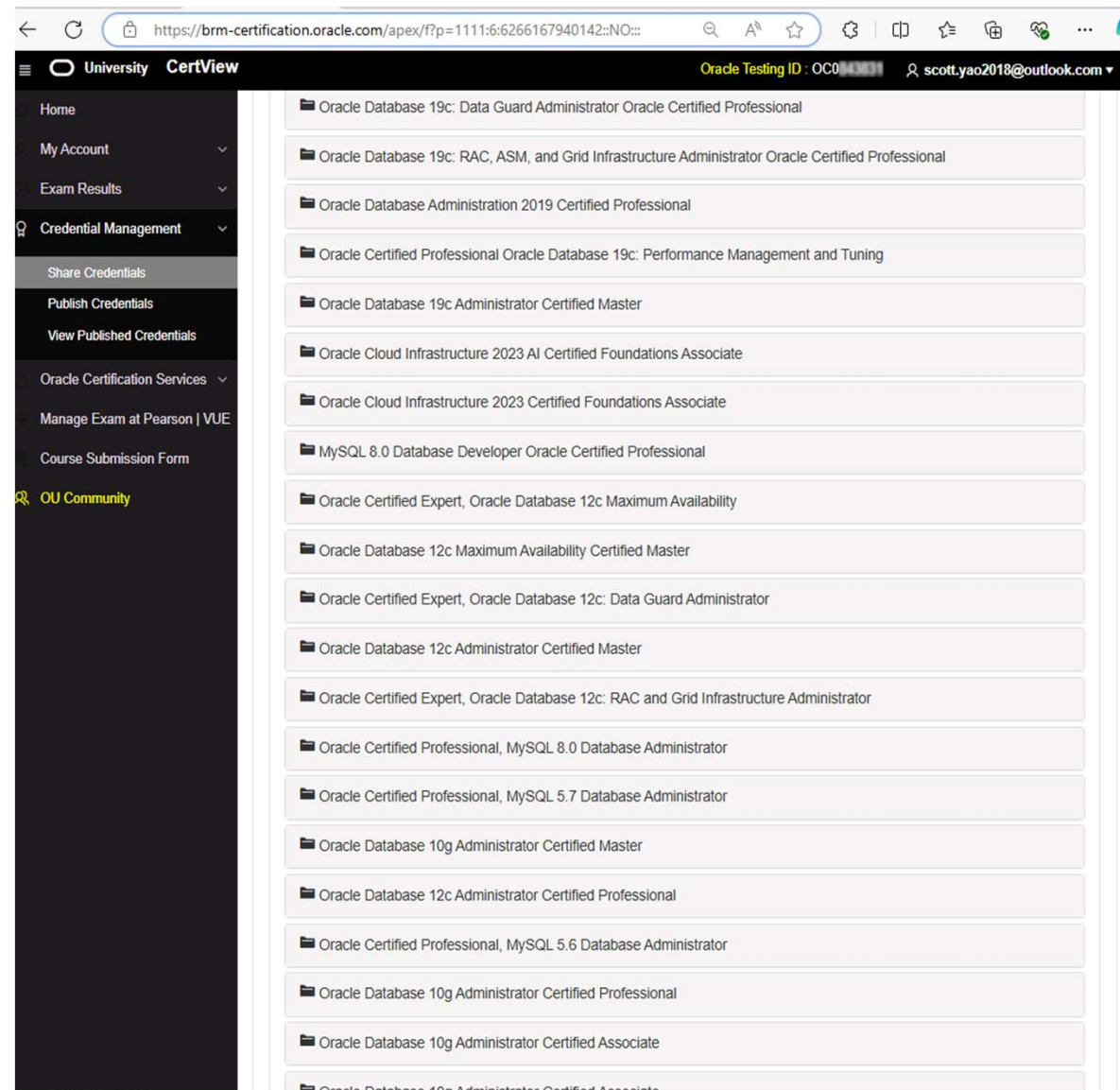


# Using AWR and ASH in Tandem for Oracle Database Tuning

Yuan Yao

# About Me

- Yuan Yao
- Oracle ACE with over 20 years of experience in Oracle and MySQL databases
- Former database manager at IBM
- Holder of more than 20 Oracle certifications
- Inventor of two computer patents
- Author of the book *MySQL 8.0 Operations and Optimization* (ISBN: 9787302602682)
- Served over 20,000 database clients
- Blog: [byte-way.com](http://byte-way.com)
- Follow me on X: @YuanOracle



# ■ Agenda

1. Why do we need both?
2. Troubleshooting “enq: TX - row lock contention” waits
3. Demystifying a Sudden Performance Degradation

## I Why Do We Need Both?

Start with AWR to spot trends, then use ASH to pinpoint root causes  
(macro-to-micro approach)



# Troubleshooting “enq:TX - row lock contention” waits

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# Spotting the Event in Top Events

Top 10 Foreground Events by Total Wait Time

Event	Waits	Total Wait Time (sec)	Avg Wait	% DB time	Wait Class
db file parallel read	316,269	22.8K	71.95ms	93.5	User I/O
DB CPU		1859		7.6	
direct path read	353,746	606.7	1.72ms	2.5	User I/O
enq: TX - row lock contention	24	135.9	5664.17ms	.6	Application
db file sequential read	146,541	55.1	375.93us	.2	User I/O
read by other session	1,411	23.6	16.72ms	.1	User I/O
SQL*Net message to client	1,175,576	.8	657.53ns	.0	Network
log file sync	334	.6	1.89ms	.0	Commit
library cache: mutex X	69	.3	4.52ms	.0	Concurrency
row cache mutex	24	.2	7.14ms	.0	Concurrency

## 24 Row Lock Waits on a Table

Top 10 Foreground Events by Total Wait Time

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### Segments by Row Lock Waits

- % of Capture shows % of row lock waits for each top segment compared
- with total row lock waits for all segments captured by the Snapshot
- When \*\* MISSING \*\* occurs, some of the object attributes may not be available

Owner	Tablespace Name	Object Name	Subobject Name	Obj. Type	Obj#	Dataobj#	Row Lock Waits	% of Capture
YUAN	YUAN	WAREHOUSE		TABLE	73275	73275	24	100.00



# A Suspicious SQL

## SQL ordered by Elapsed Time

- Resources reported for PL/SQL code includes the resources used by all SQL statements called by the code.
- % Total DB Time is the Elapsed Time of the SQL statement divided into the Total Database Time multiplied by 100
- %Total - Elapsed Time as a percentage of Total DB time
- %CPU - CPU Time as a percentage of Elapsed Time
- %IO - User I/O Time as a percentage of Elapsed Time
- Captured SQL account for 99.8% of Total DB Time (s): 24,346
- Captured PL/SQL account for 98.9% of Total DB Time (s): 24,346

$$154.88 \times (1 - 8.41\%) = 142$$

Elapsed Time (s)	Executions	Elapsed Time per Exec (s)	%Total	%CPU	%IO	SQL Id	SQL Module	SQL Text
8,484.71	12	707.06	34.85	10.18	95.83	<u>29qp10usqkqh0</u>	Sales Rep Query	SELECT TT.ORDER_TOTAL, TT.SALE...
8,482.73	19	446.46	34.84	10.18	95.85	<u>absqp4380420m</u>		BEGIN :1 := orderentry.SalesRe...
8,054.68	18	447.48	33.08	5.54	98.04	<u>awhuaysw8s09b</u>		BEGIN :1 := orderentry.Warehou...
8,054.67	12	671.22	33.08	5.54	98.04	<u>gkxxkghxubh1a</u>	Warehouse Orders Query	SELECT ORDER_MODE, ORDERS.WARE...
7,484.61	18	415.81	30.74	5.77	97.97	<u>fsu2krpxk3wz7</u>	JDBC Thin Client	BEGIN :1 := orderentry.Warehou...
7,484.59	16	467.79	30.74	5.77	97.97	<u>56pwkjspvmg3h</u>	Warehouse Activity Query	WITH STAGE1 AS (SELECT /*+ mat...
154.88	587,069	0.00	0.64	8.40	0.01	<u>6zw2mftgcgm4c</u>	New Order	UPDATE warehouse SET W_NAME = ...
31.56	220	0.14	0.13	9.16	93.29	<u>3n4tadqgd9b9r</u>	JDBC Thin Client	BEGIN :1 := orderentry.neworde...
26.53	103	0.26	0.11	98.02	1.27	<u>fhf8upax5cxsx</u>		BEGIN sys.dbms_auto_report_int...
24.62	103	0.24	0.10	98.35	0.86	<u>0w26sk6t6gq98</u>		SELECT XMLTYPE(DBMS_REPORT.GET...



# A Suspicious SQL

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8,054.68	18	447.48	33.08	5.54	98.04	awhuaysw6s09b		BEGIN :1 := orderentry.Warehou...
8,054.67	12	671.22	33.08	5.54	98.04	qkxxkqhxubh1a	Warehouse Orders Query	SELECT ORDER_MODE, ORDERS.WARE...
7,484.61	18	415.81	30.74	5.77	97.97	fau2kprpk3wz7	JDBC Thin Client	BEGIN :1 := orderentry.Warehou...
7,484.59	16	467.79	30.74	5.77	97.97	56pwkjspvmg3h	Warehouse Activity Query	WITH STAGE1 AS (SELECT /*+ mat...
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library cache: mutex X	69	.3	4.52ms	.0	Concurrency
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# Fruitless Executions

## SQL ordered by Executions

- %CPU - CPU Time as a percentage of Elapsed Time
- %IO - User I/O Time as a percentage of Elapsed Time
- Total Executions: 1,195,251
- Captured SQL account for 99.4% of Total

Executions	Rows Processed	Rows per Exec	Elapsed Time (s)	%CPU	%IO	SQL Id	SQL Module	SQL
587,069	587,069	1.00	17.31	65.9	2.9	<a href="#">3bmjk5pxjjuhp</a>	New Order	SELECT W_ID, W_N
587,069	-	587,061 = 8	154.88	8.4	0	<a href="#">6zw2mftgcgm4c</a>	New Order	UPDATE warehouse
3,447	48,272	14.00	4.88	13	89.8	<a href="#">0y1prvxqc2ra9</a>	Browse Products	SELECT PRODUCTS
2,568	11,500	4.48	20.19	7.8	95.2	<a href="#">c13sma6rkr27c</a>	New Order	SELECT PRODUCTS
731	660	0.90	0.93	19.9	94.3	<a href="#">8z3542ffmp562</a>	New Order	SELECT QUANTITY_
682	0	0.00	0.05	100	0	<a href="#">bxpcry2tpc217</a>		select /*+ opt_param(
682	0	0.00	1.83	99	0	<a href="#">f7b069b8zkhvu</a>		SELECT to_number(v
660	660	1.00	3.49	5.3	95.6	<a href="#">f7rxuxzt64k87</a>	New Order	INSERT INTO ORDER
510	510	1.00	1.14	3.8	95.6	<a href="#">5ckxyqfvu60pj</a>	New Order	SELECT CUSTOMER
498	396	0.80	0.71	100	0	<a href="#">fg4skgcja2cyj</a>		SELECT EXTRACTV

# Fruitless Executions

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Executions	Rows Processed	Rows per Exec	Elapsed Time (s)	%CPU	%IO	SQL Id	SQL Module	SQL Text
587,069	587,069	1.00	17.31	65.9	2.9	<a href="#">3bmjk5pxjjuhp</a>	New Order	SELECT W_ID, W_NAME, W_YTD FRO...
587,069	-	587,061 = 8	154.88	8.4	0	<a href="#">6zw2mftgcgm4c</a>	New Order	UPDATE warehouse SET W_NAME = ...
3,447	48,272	14.00	4.88	13	89.8	<a href="#">0y1prvxqc2ra9</a>	Browse Products	SELECT PRODUCTS.PRODUCT_ID, PR...
2,568	11,500	4.48	20.19	7.8	95.2	<a href="#">c13sma6rkr27c</a>	New Order	SELECT PRODUCTS.PRODUCT_ID, PR...
731	660	0.90	0.93	19.9	94.3	<a href="#">8z3542ffmp562</a>	New Order	SELECT QUANTITY_ON_HAND FROM P...
682	0	0.00	0.05	100	0	<a href="#">bxpcry2tpc217</a>		select /*+ opt_param('parallel...
682	0	0.00	1.83	99	0	<a href="#">f7b069b8zkhvu</a>		SELECT to_number(value) FROM s...
			3.49	5.3	95.6	<a href="#">f7rxuxzt64k87</a>	New Order	INSERT INTO ORDER_ITEMS ( ORDE...
			1.14	3.8	95.6	<a href="#">5ckxyqfvu60pj</a>	New Order	SELECT CUSTOMER_ID, CUST_FIRST...
			0.71	100	0	<a href="#">fg4skgcja2cyj</a>		SELECT EXTRACTVALUE(VALUE(D), ...

## Key Instance Activity Stats

- Ordered by statistic name

Statistic	Total	per Second	per Trans
db block changes	55,429	30.62	110.20
execute count	1,195,251	660.25	2,376.24
logons cumulative	16	0.01	0.03
opened cursors cumulative	1,197,474	661.48	2,380.66
parse count (total)	1,182,568	653.25	2,351.03
parse time elapsed	809	0.45	1.61
physical reads	84,677,085	46,775.24	168,344.11
physical writes	5,909	3.26	11.75
redo size	7,959,320	4,396.69	15,823.70
session cursor cache hits	1,191,459	658.16	2,368.71
session logical reads	94,223,044	52,048.39	187,322.16
user calls	1,763,380	974.08	3,505.73
user commits	495	0.27	0.98
user rollbacks	8	0.00	0.02
workarea executions - optimal	8,714	4.81	17.32

enqueue conversions	5,612	3.10	11.16
enqueue deadlocks	8	0.00	0.02
enqueue releases	232,025	128.17	461.28
enqueue requests	232,026	128.17	461.28

## Enqueue Activity

- only enqueues with requests are shown
- Enqueue stats gathered prior to 10g should not be compared with 10g data
- ordered by Wait Time desc, Waits desc, Requests desc

Enqueue Type (Request Reason)	Requests	Succ Gets	Failed Gets	Waits	Wt Time (s)	Av Wt Time(ms)	
TX-Transaction (row lock contention)	24	-	16 = 8	0	24	138	5,732.96

# Pinpointing the Locked Rows

```
SELECT ob.owner,  
       ob.object_name,  
       dbms_rowid.rowid_create(  
         rowid_type => 1,  
         object_number => ob.data_object_id,  
         relative_fno => sh.current_file#,  
         block_number => sh.current_block#,  
         row_number => sh.current_row#  
       ) AS row_id,  
       COUNT(*) AS occurrence_count  
FROM dba_hist_active_sess_history sh  
JOIN dba_objects ob ON sh.current_obj# =  
ob.object_id  
WHERE sh.snap_id = 2267  
AND sh.event = 'enq: TX - row lock  
contention'  
GROUP BY ob.owner,  
         ob.object_name,  
         dbms_rowid.rowid_create(  
           rowid_type => 1,  
           object_number =>  
ob.data_object_id,  
           relative_fno => sh.current_file#,  
           block_number =>  
sh.current_block#,  
           row_number => sh.current_row#  
         )  
ORDER BY occurrence_count DESC;
```

OWNER	OBJECT_NAME	ROW_ID	OCCURRENCE_COUNT
YUAN	WAREHOUSE	AAAR47AAAAAANMhAAA	4
YUAN	WAREHOUSE	AAAR47AAAAABCAZAAA	1
YUAN	WAREHOUSE	AAAR47AAAAAANMgAAA	1
YUAN	WAREHOUSE	AAAR47AAAAAANMuAAA	1
YUAN	WAREHOUSE	AAAR47AAAAABCAPAAA	1
YUAN	WAREHOUSE	AAAR47AAAAABCAtAAA	1

6 rows selected.

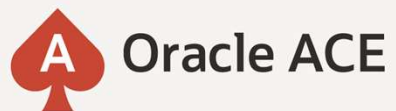
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# Pinpointing the Locked Rows

```
SQL> select * from yuan.warehouse where rowid='AAAR47AAAAAANMhAAA';
```

W_ID	W_YTD	W_TAX	W_NAME	W_STREET_1	W_STREET_2	W_CITY	W_STATE	W_ZIP
28	528875085	0.14	3RJSfEol67	2uKUS55GUQi1CYjwr	lvCRszzpQH	vOwNeAGG5ub	cl	449311111





# Demystifying a Sudden Performance Degradation

Yuan Yao



# ■ User Complained a Sudden Performance Degradation in Oracle



Complaining a Sudden  
Performance Degradation  
normalance  
Degradation

Yuan Yao

# The Standout Troublemaker

## SQL ordered by Elapsed Time

- Resources reported for PL/SQL code includes the resources used by all SQL statements called by the code.
- % Total DB Time is the Elapsed Time of the SQL statement divided into the Total Database Time multiplied by 100
- %Total - Elapsed Time as a percentage of Total DB time
- %CPU - CPU Time as a percentage of Elapsed Time
- %IO - User I/O Time as a percentage of Elapsed Time
- Captured SQL account for 100.4% of Total DB Time (s): 1,261
- Captured PL/SQL account for 100.6% of Total DB Time (s): 1,261

Elapsed Time (s)	Executions	Elapsed Time per Exec (s)	%Total	%CPU	%IO	SQL Id	SQL Module	SQL Text
1,252.91	19	65.94	99.35	99.56	39.06	<a href="#">g81cbrq5yamf5</a>	New Order	SELECT ADDRESS_ID, CUSTOMER_I
1,191.94	18	66.22	94.51	99.56	38.80	<a href="#">3n4tadqgd9b9r</a>	JDBC Thin Client	BEGIN :1 := orderentry.neworde...
67.19	1	67.19	5.33	99.54	39.07	<a href="#">ajjqgrmacwv34</a>		BEGIN :1 := orderentry.browsea...
5.36	13	0.41	0.43	99.53	0.24	<a href="#">fhf8upax5cxsz</a>		BEGIN sys.dbms_auto_report_int...
5.18	13	0.40	0.41	99.54	0.13	<a href="#">0w26sk6t6gq98</a>		SELECT XMLTYPE(DBMS_REPORT.G
4.84	13	0.37	0.38	99.62	0.14	<a href="#">0za9fv0j1vgkk</a>		WITH MONITOR_DATA AS (SELECT *
3.34	1	3.34	0.26	98.69	0.68	<a href="#">3ga4fz007nx5y</a>	sqlplus@dell.scutech (TNS V1-V3)	BEGIN DBMS_WORKLOAD_REPOSIT
0.50	1	0.50	0.04	99.61	0.00	<a href="#">5n48v6nam7jg2</a>		insert into wrh\$_instance_reco...
0.49	4	0.12	0.04	99.27	0.00	<a href="#">c9umxngkc3byq</a>		select sql_id, sql_exec_id, db...
0.45	13	0.03	0.04	99.77	0.00	<a href="#">atwuyuvqkf27w</a>		SELECT /*+ OPT_PARAM('_fix_con...

# Two Execution Plans

Plan hash value: 1286489376

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0	SELECT STATEMENT				2681K(100)	
* 1	COUNT STOPKEY					
* 2	TABLE ACCESS FULL	ADDRESSES	2	154	2681K (1)	00:01:45

Predicate Information (identified by operation id):

- 1 - filter(ROWNUM<=:B1)
- 2 - filter("CUSTOMER\_ID"=:B2)

SQL\_ID g81cbrq5yamf5

○—○

SELECT ADDRESS\_ID, CUSTOMER\_ID, DATE\_CREATED, HOUSE\_NO\_OR\_NAME,  
STREET\_NAME, TOWN, COUNTY, COUNTRY, POST\_CODE, ZIP\_CODE FROM ADDRESSES  
WHERE CUSTOMER\_ID = :B2 AND ROWNUM < :B1

Plan hash value: 2480532011

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0	SELECT STATEMENT				6 (100)	
* 1	COUNT STOPKEY					
2	TABLE ACCESS BY INDEX ROWID BATCHED	ADDRESSES	2	154	6 (0)	00:00:01
* 3	INDEX RANGE SCAN	ADDRESS_CUST_IX	2		4 (0)	00:00:01

## I The Missing Index

```
SQL> SELECT index_name, index_type, uniqueness  
2 FROM user_indexes  
3* WHERE table_name = 'ADDRESSES';
```

INDEX_NAME	INDEX_TYPE	UNIQUENESS
ADDRESS_PK	NORMAL/REV	UNIQUE



# A Timeline of the SQL Execution

```
SQL> define top_sql_id='g8lcbrq5yamf5'
SQL>
SQL> SELECT b.snap_id,
2      TO_CHAR(b.end_interval_time, 'HH24:MI') AS snap_time,
3      a.plan_hash_value,
4      TRUNC(a.elapsed_time_delta / 1000000 / NULLIF(a.executions_delta, 0), 5) AS avg_elapsed_second
5 FROM dba_hist_sqlstat a,
6      dba_hist_snapshot b
7 WHERE sql_id = '&top_sql_id'
8      AND a.snap_id (+) = b.snap_id
9      AND b.begin_interval_time > TRUNC(SYSDATE)
10* ORDER BY a.snap_id;
```

SNAP_ID	SNAP_TIME	PLAN_HASH_VALUE	AVG_ELAPSED_SECOND
2932	06:00	2480532011	0.00034
2933	06:30	2480532011	0.00121
2934	07:00	2480532011	0.00124
2935	07:30	2480532011	0.00124
2936	08:00	2480532011	0.00119
2937	08:30	2480532011	0.00112
2938	09:00	2480532011	0.00114
2939	09:30	2480532011	0.00115
2940	10:00	2480532011	0.0011
2941	10:30	1286489376	104.99351
2942	11:00	1286489376	63.29527
2943	11:30	1286489376	64.50286
2944	12:00	1286489376	63.36064
2945	12:30	1286489376	63.42192
2946	13:00	1286489376	64.47289
2947	13:30	1286489376	64.52588

16 rows selected.

# Pinpoint the Time

```
SQL> select
  2     sql_id,
  3     sql_child_number,
  4     to_char(sample_time, 'HH24:MI:SS') track_time,
  5     sql_plan_hash_value curr_sql_plan
  6 from
  7     dba_hist_active_sess_history
  8 where
  9     snap_id=2941
 10     and sql_id = '&top_sql_id'
 11* order by sample_time;
```

g81cbrq5yamf5	0	10:11:20	2480532011
g81cbrq5yamf5	0	10:11:51	2480532011
g81cbrq5yamf5	0	10:12:22	2480532011
g81cbrq5yamf5	0	10:12:32	2480532011
g81cbrq5yamf5	0	10:14:25	2480532011
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
g81cbrq5yamf5	1	10:15:26	1286489376
SQL_ID	SQL_CHILD_NUMBER	TRACK_TIME	CURR_SQL_PLAN
g81cbrq5yamf5	1	10:15:26	1286489376